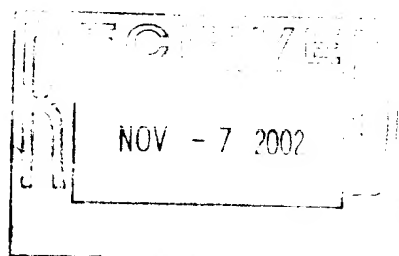


RECEIVED

 Commissioner for Patents  
 Washington, DC 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/AGENT/BOOK
09/905,718	05/16/2002	C. Grant Willson	5119-07900

ERIC B. MEYERTONS  
 CONLEY, ROSE & TAYON  
 P.O. BOX 398  
 Austin, TX 78767-0398



CONFIRMATION NO. 2731

FORMALITIES LETTER



\*OC000000009058519\*

Date Mailed: 11/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.  
*Applicant must submit \$ 370 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$531 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1031 for a Large Entity

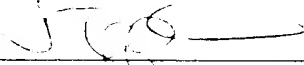
- \$370 Statutory basic filing fee.

Attorney: \_\_\_\_\_  
 Transfer: \_\_\_\_\_  
 Action: Paid  
 Due: \_\_\_\_\_  
 Docketed: 11/7

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$531**
  - **\$405** for **45** total claims over 20 .
  - **\$126** for **3** independent claims over 3 .

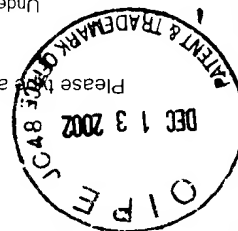
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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Please use a plus sign (+) inside this box



Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Total Number of Pages in This Submission
Application Number	09/905,718	Attorney Docket Number
Filing Date	May 16, 2002	PA27-02V12
First Named Inventor	Willson, Carlton Grant	Examiner Name
Group Art Unit	Unassigned	Unassigned

<b>ENCLOSURES (check all that apply)</b>	
<input type="checkbox"/> After Allowance Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <input type="checkbox"/> (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Declaration 2. Statement Regarding Missing Parts 3. Return Receipt Postcard to Kenneth C. Brooks	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Remarks
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Individual name or Firm	Kenneth C. Brooks
Signature	<i>[Signature]</i>
Date	12/10/02

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 12/02/02	
Type or printed name	Jennifer Shaw
Signature	<i>[Signature]</i>
Date	12/02/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

DEC 13 2002

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$130.00)

## Complete if Known

Application Number	09/905,718
Filing Date	5/16/2002
First Named Inventor	Willson, et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	PA27-02V12

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit  
Account  
Number  
Deposit  
Account  
Name

500345

Kenneth C. Brooks

☒Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
Applicant claims small entity status.  
See 37 CFR 1.272. ☒

Payment Enclosed:

☒

Check

☐ Credit Card☐ Money  
Order☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$0.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	20** =	X	
Multiple	3** =	X	
Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0.00)

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

## SUBMITTED BY

Name (Print/Type)

Kenneth C. Brooks

Registration No.  
(Attorney Agent)

38,393

Complete (if applicable)

Telephone

(512) 527-0104

Signature

Date

12/16/02

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Willson et al.

PATENT APPLICATION

Serial No: 09/905,718

Group Art Unit: Unassigned

Filed: May 16, 2002

Examiner: Unassigned

For: METHOD AND SYSTEM FOR FABRICATING NANOSCALE PATTERNS IN  
LIGHT CURABLE COMPOSITIONS USING AN ELECTRIC FIELD

Statement Regarding Missing Parts

Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

This statement is in response to the Notice to File Missing Parts of Nonprovisional Application mailed November 4, 2002. The said Notice indicates that the filing fees were insufficient. A Fee Authorization was sent with the filing of the application on May 16, 2002, copy enclosed, for the amount of \$1,031.00. I spoke with the Office of Initial Patent Examination regarding this matter and the case is now in Fee Processing as of December 2, 2002.

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to : BOX MISSING PARTS

Commissioner for Patents, Washington  
D.C. 20231

Signed: *Jennifer Shaw*

Typed Name: Jennifer Shaw

Date: *December 4, 2002*

Respectfully Submitted,

Kenneth C. Brooks  
Reg. No. 38,393



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

22

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Atty. Dkt. No: 5119-07901

"Express Mail" mailing label number: EL902652525US  
DATE OF DEPOSIT: May 16, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Derrick Brown

## FEE AUTHORIZATION

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5119-07901:

\$130.00 – Processing Fee, Conversion of a Provisional to a Nonprovisional Patent

\$370.00 – Basic Filing Fee – Nonprovisional Patent – Small Entity

\$126.00 – 3 Excess Independent Claims – Small Entity

\$405.00 – 45 Excess Total Claims – Small Entity

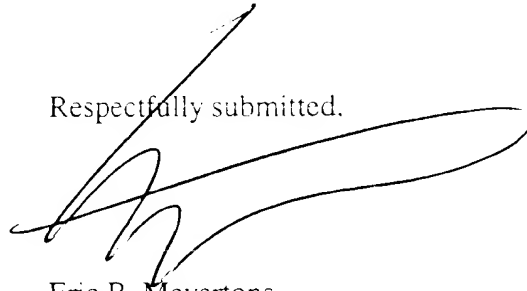
Total Amount: \$1031.00

Attorney Docket No.: 5119-07901

Willson, et al.  
60/291,664

The Commissioner is also authorized to charge any fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 50-1623/5119-07901.

Respectfully submitted,



Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 703-1254 (voice)  
(512) 703-1250 (facsimile)

Date: 5/16/02